



72

2775
11w

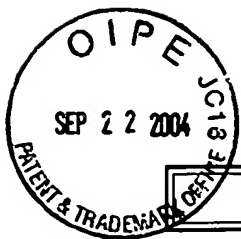
Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 68960
Application ID: 10074162
Title of Invention: LIGHTING APPARATUS
First Named Inventor: Brian Tufte
Domestic/Foreign Application: Domestic Application
Filing Date: 2002-02-12
Effective Receipt Date: 2004-09-22
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 6734
Attorney Docket Number: 1076.1101103




Total Fees Authorized: 180.0
Payment Category: Deposit Account
Deposit Account Number: 500413
Deposit Account Name: Brian N. Tufte
Access Code: ****
RAM Payment Status: RAM success
RAM User ID: EFSPROD
RAM Accounting Date: 2004-09-22
RAM Sequence Number: 34

Digital Certificate Holder: cn=Brian N. Tufte,ou=Registered Attorneys,ou=Patent and Trademark
Office,ou=Department of Commerce,o=U.S. Government,c=US
Certificate Message Digest: eb54bbd238c22e1dd6c90b99fd1215fa0aabd108



TRANSMITTAL

Electronic Version v1.1
Stylesheet Version v1.1.0

Title of Invention	LIGHTING APPARATUS							
Application Number: 10/074162 								
Date: 2002-02-12								
First Named Applicant: Brian N. Tufte								
Confirmation Number: 6734								
Attorney Docket Number: 1076.1101103								
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>								
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Brian N. Tufte Registered Number: 38638</td><td>/brian n. tufte/</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Brian N. Tufte Registered Number: 38638	/brian n. tufte/	Attorney
Submitted by:	Elec. Sign.	Sign. Capacity						
Brian N. Tufte Registered Number: 38638	/brian n. tufte/	Attorney						



Documents being submitted	Files
us-fee-sheet	1076.1101103-usfees.xml
	us-fee-sheet.xsl
	us-fee-sheet.dtd
us-ids	1076.1101103-usidst.xml
	us-ids.dtd
	us-ids.xsl
Comments	

**FEE TRANSMITTAL**

Electronic Version v08

Stylesheet Version v08.0

Title of Invention	LIGHTING APPARATUS										
Application Number: 10/074162 											
Date: 2002-02-12											
First Named Applicant: Brian N. Tufte											
Attorney Docket Number: 1076.1101103											
Art Unit: 2875											
Examiner: Guiyoung Lee											
TOTAL FEE AUTHORIZED \$180											
Patent fees are subject to annual revisions on or about October 1st of each year.											
BASIC FILING FEE											
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>				Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$								
Submission Of Information Disclosure Stmt Fee	1806	180	180								
AUTHORIZED BILLING INFORMATION											
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:											
Deposit account number: 500413											
Access Code ****											
Deposit name: Crompton Seager & Tufte											
Deposit authorized name: Brian N. Tufte											
Signature: /brian n. tufte/											
Date (YYYYMMDD): 2004-09-22											
Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.											

**FEE TRANSMITTAL**

Electronic Version v08

Stylesheet Version v08.0


Title of Invention	LIGHTING APPARATUS										
Application Number: 10/074162 											
Date: 2002-02-12											
First Named Applicant: Brian N. Tufte											
Attorney Docket Number: 1076.1101103											
Art Unit: 2875											
Examiner: Guiyoung Lee											
TOTAL FEE AUTHORIZED \$180											
Patent fees are subject to annual revisions on or about October 1st of each year.											
BASIC FILING FEE											
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>				Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$								
Submission Of Information Disclosure Stmt Fee	1806	180	180								
AUTHORIZED BILLING INFORMATION											
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:											
Deposit account number: 500413											
Access Code ****											
Deposit name: Crompton Seager & Tufte											
Deposit authorized name: Brian N. Tufte											
Signature: /brian n. tufte/											
Date (YYYYMMDD): 2004-09-22											
Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.											



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	LIGHTING APPARATUS																																																																						
<p>Application Number: 10/074162 </p> <p>Confirmation Number: 6734</p> <p>First Named Applicant: Brian Tufte</p> <p>Attorney Docket Number: 1076.1101103</p> <p>Art Unit: 2875</p> <p>Examiner: Guiyoung Lee</p> <p>Search string: (2277433 or 3551723 or 4418378 or 5180223 or 5410458 or 5680496 or 6511204).pn.</p> <p><u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>2277433</td><td>1942-03-24</td><td>E. F. Guth</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>3551723</td><td>1970-12-29</td><td>Van Groningen</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>4418378</td><td>1983-11-29</td><td>Johnson</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>5180223</td><td>1993-01-19</td><td>McNamee</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>5410458</td><td>1995-04-25</td><td>Bell</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5680496</td><td>1997-10-21</td><td>Burkitt, III et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>6511204</td><td>2003-01-28</td><td>Emmel et al.</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	2277433	1942-03-24	E. F. Guth					2	3551723	1970-12-29	Van Groningen					3	4418378	1983-11-29	Johnson					4	5180223	1993-01-19	McNamee					5	5410458	1995-04-25	Bell					6	5680496	1997-10-21	Burkitt, III et al.					7	6511204	2003-01-28	Emmel et al.			
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																																																
	1	2277433	1942-03-24	E. F. Guth																																																																			
	2	3551723	1970-12-29	Van Groningen																																																																			
	3	4418378	1983-11-29	Johnson																																																																			
	4	5180223	1993-01-19	McNamee																																																																			
	5	5410458	1995-04-25	Bell																																																																			
	6	5680496	1997-10-21	Burkitt, III et al.																																																																			
	7	6511204	2003-01-28	Emmel et al.																																																																			



Signature

Examiner Name	Date